

U.S. Department of Commerce Patent and Trademark Office

Docket No

Kum13Sil.Lan

First Named Inventor

Wilson Smart

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

COMPLETE IF KNOWN

Application Number

Filing Date

XDeclaration OR Submitted with

Declaration Submitted after

Group Art Unit

Initial Filing Initial Filing

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SILICON LANCET DEVICE AND METHOD OF CONSTRUCTION,

the specification of which

|X| is attached hereto

OR

| was filed on (MM/DD/YYYY) [|] as United States Application Number or PCT |
|------------------------------------|--|
| International Application Number [|] and was amended on (MM/DD/YYYY) [|
| (if applicable). | - |

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations Section 1.56(a).

I hereby claim foreign priority benefits under Title, 35 United States Code Sections 119(a)-(d) or Section 385(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT internation application having a filing date before that of the application on which priority is claimed:

None

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below.

None



Page 2

I hereby claim the benefit under Title 35, United States Code Section 120 of any United States application(s), or Secton 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

None

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Wilson Smart

Please direct all correspondence to:

Wilson Smart

245 Washington Avenue

Palo Alto, CA. 94301

Fax - (510) 476-0953

Telephone - (650) 326-1225

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| _ | | | | |
|---|-------------------------------------|--------------------------------|----------------------------------|-------------------|
| Name of Sole or First In Given Name Middle In Wilson | itial Family Name | Suf- | five a dr | ed inventor |
| Wilson Inventor's Signature Wilson | ment | Date 3 - 26 - 0 | 1 | |
| esidence City State | | Country Citizenship | | ship |
| Palo Alto Mailing Address Street 245 Washington Aven | ch 94301 City nue, Palo Alto | State , CA | zip 94301 | Country U.S.A. |
| Name of Second Inventor Given Name Middle In Kumar Inventor's | | e Suf | for this unsign ffix e.g. Jr. | ed inventor |
| Signature | | Date | | |
| esidence City State Pleasanton, CA 94566 ailing Address Street City 6833 Corte Munras, Pleasant | | Country U.S.A. | Citizenship U.S.A. | |
| Mailing Address Street 6833 Corte Munras, | City Pleasanton, | State CA | U.S. ^{Zip} 94566 | Country U.S.A. |
| Name of Third Inventor Given Name Middle In Eugene | ¦¦Ar itial Family Name Orloff | petition has been filed Sun | for this unsign ffix e.g. Jr. | ed inventor |
| Inventor's Signature | | Date | | |
| Residence City Berkelev | State CA 94710 | Country U.S.A. | Citizens U.S | ship |
| Residence City Berkeley Mailing Address Street 1627 9th Street | City Berkeley | State CA | Zip 94710 | Country U.S.A. |

STATEMENT CLAIMING SMALL BUSINESS ENTITY STATUS 37 CFR 1.9(f) and 1.27(c) - SMALL BUSINESS CONCERN

Applicant, Patentee, or Identifier: Application or Patent No.

Docket Kum13Sil.Lan

Filed or Issued

Title

SILICON LANCET DEVICE

AND METHOD OF CONSTRUCTION

I hereby state that I am

the owner of the small business concern identified below:

X an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN

Kumetrix, Inc, a California corporation,

ADDRESS OF SMALL BUSINESS CONCERN

29524 Union City Blvd Union City, CA 94587-1245;

I hereby state that the above identified concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement.

(1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and

(2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

|X| the specification filed herewith with title as listed above.

the application identified above.

| the application identified a
| the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

|X| no such person, concern, or organization exists.

! | each such person, concern, or organization is listed below.

None.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

NAME OF PERSON SIGNING Wilson Smart

TITLE OF PERSON IF OTHER THAN OWNER President of Kumetrix, Inc.

ADDRESS OF PERSON SIGNING 245 Washington Avenue, Palo Alto, CA 94301

SIGNATURE

Milson Smut

DATE 3-26-01